



2171
#5
11-12-03
JM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralf Hofmann et al.
Assignee: Sun Microsystems, Inc.
Title: METHOD AND STRUCTURE FOR DYNAMIC CONVERSION OF DATA
Serial No.: 09/759,742 Filed: January 12, 2001
Examiner: Unknown Group Art 2171
Unit:
Docket No.: P-4356

RECEIVED

Monterey, CA
September 29, 2003

OCT 02 2003

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS:

	DOCUMENT NUMBER	DATE	NAME
1)	5,727,159	03/10/98	Kikinis
2)	2001/0034771 A1	10/25/01	Hütsch et al.

FOREIGN DOCUMENTS:

	DOCUMENT NUMBER	DATE	COUNTRY
1)	WO 96/37817 A1	11/28/1996	PCT
2)	WO 98/47270 A2	10/22/1998	PCT

3)	WO 98/53393 A1	11/26/1998	PCT
4)	WO 98/24020 A2	06/04/1998	PCT
5)	WO 00/20985 A1	04/13/2000	PCT

OTHER DOCUMENTS:

1)	Schmidt U. et al., "Erfahrungen Mit VDM Bei Der Uebersetzungskonstruktion, Experiences with VDM in Compiler Construction", Informationstechnik IT, de, Oldenbourg Verlag. Munchen, vol. 29, no. 4, January 1, 1987, pages 211-216, XP002063424.
2)	Ford and O'Higgins, "Public-Key Cryptography and Open Systems Interconnection," <u>IEEE Communications Magazine</u> , Vol. 30, No. 7, pp. 30-35 (July, 1992)
3)	Hild and Robinson, "Mobilizing Applications," <u>IEEE Personal Communications</u> , Vol. 4, No. 5, pp. 26-34 (October, 1997).
4)	Java™ 2 Platform Enterprise Edition Specification, Version 1.2, Final Release, Sun Microsystems, Inc., Palo Alto, CA, December 17, 1999.
5)	JavaServer Pages™ Specification, Version 1.1, Sun Microsystems, Inc., Palo Alto, CA, November 30, 1999.
6)	Java™ Servlet Specification, Version 2.2, Final Release Sun Microsystems, Inc., Palo Alto CA, December 17, 1999.

A PTO form 1449 listing these documents is enclosed.

Enclosed as Exhibit A is a copy of European Search Report EP 00 10 0211 for the related foreign application upon which the instant U.S. application claims priority. Applicant's attorney does not have a translation of Reference No. 1 in "Other References", which is cited in Exhibit A. The relevance of Reference No. 1, as known to Applicants' attorney, is given in the European Search Report.

Further, enclosed as Exhibit B is a copy of European Search Report EP 00 10 0738 of a foreign application related to copending U.S. application Serial No. 09/759,740 entitled "Network Portal System and Methods", which, in turn, was incorporated by reference in the instant U.S. application (See

page 48 of the disclosure). A copy of the U.S. publication of U.S. Patent application serial No. 09/759,740 is also enclosed.

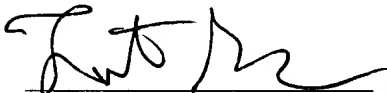
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

If the USPTO issued a first office action prior to the mailing date of this paper, the Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. Box 1450 Alexandria, VA 22313-1450, on September 29, 2003.



Attorney for Applicant(s)

September 29, 2003

Date of Signature

Respectfully submitted,



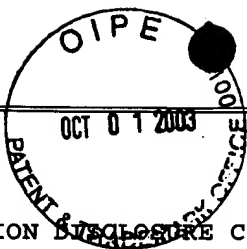
Forrest Gunnison

Attorney for Applicant(s)

Reg. No. 32,899

(831) 655-0880

Form PTO-1449



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Atty Docket No.

P-4356

Serial No.

09/759,742

Applicant(s)

Ralf Hofmann et al.

Filing Date

January 12, 2001

Group

2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,727,159	03/10/98	Kikinis	395	200.76	04/10/1996
	AB	2001/0034771 A1	10/25/01	Hütsch et al.	709	217	10/25/01
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

OCT 02 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL	WO 96/37817 A1	11/28/1996	PCT				
	AM	WO 98/47270 A2	10/22/1998	PCT				
	AN	WO 98/53393 A1	11/26/1998	PCT				
	AO	WO 98/24020 A2	06/04/1998	PCT				
	AP	WO 00/20985 A1	04/13/2000	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Schmidt U. et al., "Erfahrungen Mit VDM Bei Der Uebersetzungskonstruktion, Experiences with VDM in Compiler Construction", Informationstechnik IT, DE, Oldenbourg Verlag. Munchen, vol. 29, no. 4, January 1, 1987, pages 211-216, XP002063424.
AS	Ford and O'Higgins, "Public-Key Cryptography and Open Systems Interconnection," <u>IEEE Communications Magazine</u> , Vol. 30, No. 7, pp. 30-35 (July, 1992)
AT	Hild and Robinson, "Mobilizing Applications," <u>IEEE Personal Communications</u> , Vol. 4, No. 5, pp. 26-34 (October, 1997).

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Atty Docket No.

P-4356

Serial No.

09/759,742

Applicant(s)

Ralf Hofmann et al.

Filing Date

January 12, 2001

Group

2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

OCT 02 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Java™ 2 Platform Enterprise Edition Specification, Version 1.2, Final Release, Sun Microsystems, Inc., Palo Alto, CA, December 17, 1999.
AS	JavaServer Pages™ Specification, Version 1.1, Sun Microsystems, Inc., Palo Alto, CA, November 30, 1999.
AT	Java™ Servlet Specification, Version 2.2, Final Release Sun Microsystems, Inc., Palo Alto CA, December 17, 1999.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).